



## Frank Weigand

PARTNER

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**Frank Weigand is Chair of Cahill's Trading & Markets Group and a member of the firm's Digital Assets and Emerging Technology Group focusing his practice on securities, commodities and digital assets matters. His clients include a broad range of market participants, including banks, broker-dealers and funds as well as a full spectrum of participants across the digital asset markets.**

Frank's practice includes advising clients on both regulatory and transactional matters, with a particular focus on strategically complex questions and new product development. His deep experience advising regulated entities in traditional markets gives him depth and context to advise on regulatory implications relevant to digital asset matters.

From a subject matter standpoint, Frank has a deep expertise in broker-dealer and securities regulation having previously been general counsel of two broker-dealers – HSBC Securities (USA) Inc, and Oasis Pro Markets, LLC. He also has spent the vast majority of his legal career advising on trading, derivatives and transactional matters across a range of products including equities, fixed income, FX, precious metals and structured products. From 2016 to 2021, Frank was the Americas Head of Legal for HSBC's Global Markets division, a role in which he was responsible for managing the legal and regulatory risk for HSBC's trading and derivatives activities for the Americas region.

Frank has also advised clients on investigations and enforcement matters and has significant experience with large-scale global compliance matters from both a proactive as well as remedial standpoint.

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### Professional Activities

- Member | NYC Bar Subcommittee on Digital Assets (2023-present)
- Federal Reserve Bank of New York | Financial Markets Lawyers Group (FMLG) (2014 – 2021)
- Co-Chair | PLI's annual "Understanding the Securities Laws" (2022)
- Co-Chair | PLI's annual "Pocket MBA for Lawyers" (2017 – 2021)
- NYC Bar Association | In-House Counsel Committee (2016 – 2019)
- Guest Lecturer on Digital Assets | Fordham Law School and Columbia University

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### Education

Fordham University School of Law, J.D., 2003, *Law Review*

Fordham University, B.S., 1997, *summa cum laude*

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### Practices

Banking & Finance

Broker-Dealer – Regulatory & Litigation

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Capital Markets

Corporate Governance & Compliance Advisory

Digital Assets and Emerging Technology

International Trade & Investments

Securities Litigation, Regulation & Enforcement

Trading & Markets

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## Admission

New York